



**Firm Brochure Supplement**  
(Part 2B of Form ADV)

**Shoker Investment Counsel, Inc.**  
**DBA Shoker Investments**

**3642 Kehr Rd.**

**Oxford, Ohio, 45056**

**Phone 513-524-1776**

**Fax 513-524-1775**

**Email [rshoker@shoker.com](mailto:rshoker@shoker.com)**

This brochure supplement provides information about Randall Shoker that supplements the Shoker Investment Counsel, Inc. brochure. You should have received a copy of that brochure. Please call Anne Bandy at 513-524-1776 if you did not receive Shoker Investment Counsel, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Randall Shoker is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

03/30/2021

## Brochure Supplement (Part 2B of Form ADV)

Randall Shoker

- Date of Birth 3-19-1960
- Degree BA 1984 Miami University, Oxford Ohio

Business Experience:

- Retail Broker  
Cowen & Company  
NYSE Brokerage Firm 1985 -1986
- Retail Broker  
Prudential Bache Securities  
NYSE Brokerage Firm 1986 -1990
- Principal  
Shoker Investment Counsel, Inc.  
1990 thru Present

Disciplinary Information: (if applicable)

None

Other Business Activities:

None

Additional Compensation:

There is no additional compensation from outside sources, third parties or investment companies. All compensation is paid from client fees alone.

Supervision:

Randall Shoker as majority principal of Shoker Investment Counsel, Inc. is self-supervised. Randall Shoker's work and personal conduct are further monitored by our secondary compliance officer, to ensure compliance with all applicable rules and the firm's procedures, as well as Shoker Investment Counsel, Inc.'s code of ethics.

Arbitration Claims:

None

Self-Regulatory Organization or Administrative Proceeding:

None

Bankruptcy Petition:

None

Alexander Shoker

- Date of Birth 07-07-1988
- Degree - BS Economics, Hillsdale College, Hillsdale, MI

Business Experience:

- Portfolio Manager / Trader  
Shoker Investments  
2019 – Present
- Account Executive  
IHS Markit (Fortune 50)  
2014-2019
- Regional Sales Manager / SDR  
Knovel / Elsevier  
2011-2014
- Trader  
T3 Trading  
2010-2011

Disciplinary Information: (if applicable)

None

Other Business Activities:

None

Additional Compensation:

There is no additional compensation from outside sources, third parties or investment companies. All compensation is paid from client fees alone.

Supervision:

Alexander Shoker, as a Registered Investment Advisor Representative, is supervised by Randall Shoker. Randall Shoker monitors Alexander Shoker's work, client recommendations, and personal conduct to ensure compliance with all applicable rules and the firm's procedures, as well as Shoker Investment Counsel, Inc.'s code of ethics. Randall Shoker can be reached at 513-524-1776.

Arbitration Claims:

None

Self-Regulatory Organization or Administrative Proceeding:

None

Bankruptcy Petition:

None